

## DECLARATION

As a below named inventor, I declare that:

99 21

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **GENES FROM THE 20q13 AMPLICON AND THEIR USES** the specification of which    is attached hereto or  x   was filed on 1/17/97 as Application No. 08/785,532 and was amended on    (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <u>  </u> No <u>  </u>
			Yes <u>  </u> No <u>  </u>

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

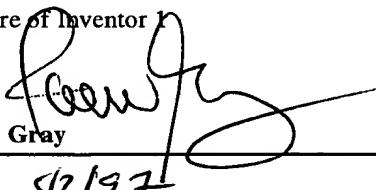
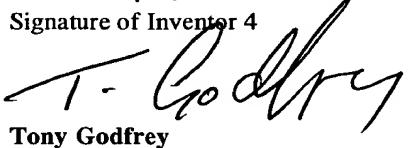
I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status		
08/731,499	10/16/96	<u>  </u> Patented <u> x  </u> Pending <u>  </u> Abandoned		
08/680,395	7/15/96	<u>  </u> Patented <u> x  </u> Pending <u>  </u> Abandoned		

Full Name of Inventor 1	Last Name Gray	First Name Joe	Middle Name or Initial W.	
Residence & Citizenship	City San Francisco	State/Foreign Country California	Country of Citizenship USA	
Post Office Address	Post Office Address 1921 11th Avenue	City San Francisco	State/Country California	Zip Code 94116
Full Name of Inventor 2	Last Name Collins	First Name Colin	Middle Name or Initial Conrad	
Residence & Citizenship	City San Rafael	State/Foreign Country California	Country of Citizenship USA	
Post Office Address	Post Office Address 333 Mountain View Avenue	City San Rafael	State/Country California	Zip Code 94901

Full Name of Inventor 3	Last Name <b>Hwang</b>	First Name <b>Soo-in</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Berkeley</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>189 Fairlawn Dr.</b>	City <b>Berkeley</b>	State/Country <b>California</b>	Zip Code <b>94708</b>
Full Name of Inventor 4	Last Name <b>Godfrey</b>	First Name <b>Tony</b>	Middle Name or Initial	
Residence & Citizenship	City <b>San Francisco</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>UK</b>	
Post Office Address	Post Office Address <b>699 Teresita Boulevard</b>	City <b>San Francisco</b>	State/Country <b>California</b>	Zip Code <b>94127</b>
Full Name of Inventor 5	Last Name <b>Kowbel</b>	First Name <b>David</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Oakland</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>6009 Auburn Avenue</b>	City <b>Oakland</b>	State/Country <b>California</b>	Zip Code <b>94618</b>
Full Name of Inventor 6	Last Name <b>Rommens</b>	First Name <b>Johanna</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Toronto</b>	State/Foreign Country <b>Ontario, Canada</b>	Country of Citizenship <b>Canada</b>	
Post Office Address	Post Office Address <b>717 Bay St. #1501</b>	City <b>Toronto</b>	State/Country <b>Ontario, Canada</b>	Zip Code <b>M5G 2J9</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  <b>Joe W. Gray</b>	Signature of Inventor 2 <b>Colin Conrad Collins</b>	Signature of Inventor 3 <b>Soo-in Hwang</b>
Date: <u>5/2/97</u>	Date:	Date:
Signature of Inventor 4  <b>Tony Godfrey</b>	Signature of Inventor 5 <b>David Kowbel</b>	Signature of Inventor 6 <b>Johanna Rommens</b>
Date: <u>5/2/97</u>	Date:	Date:



## DECLARATION

Attorney Docket No. 023070-068920

I, the undersigned, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: GENES FROM THE 20q13 AMPLICON AND THEIR USES the specification of which is attached hereto or  was filed on 1/17/97 as Application No. 08/785,532 and was amended on (if applicable).

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## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <input type="checkbox"/> No <input type="checkbox"/>
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08/680,395	7/15/96	Patented	<input checked="" type="checkbox"/>	Pending Abandoned

Full Name of Inventor 1	Last Name Gray	First Name Joe	Middle Name or Initial W.	
Residence & Citizenship	City San Francisco	State/Foreign Country California	Country of Citizenship USA	
Post Office Address	Post Office Address 1921 11th Avenue	City San Francisco	State/Country California	Zip Code 94116
Full Name of Inventor 2	Last Name Collins	First Name Colin	Middle Name or Initial C.	
Residence & Citizenship	City San Rafael	State/Foreign Country California	Country of Citizenship USA	
Post Office Address	Post Office Address 333 Mountain View Avenue	City San Rafael	State/Country California	Zip Code 94901

(Page 1 of 2)

Full Name of Inventor 3	Last Name Hwang	First Name Soo-in	Middle Name or Initial	
Residence & Citizenship	City Berkeley	State/Foreign Country Calif rnia	Country of Citizenship USA	
Post Office Address	Post Office Address 189 Fairlawn Dr.	City Berkeley	State/Country California	Zip Code 94708
Full Name of Inventor 4	Last Name Godfrey	First Name Tony	Middle Name or Initial	
Residence & Citizenship	City San Francisco	State/Foreign Country California	Country of Citizenship UK	
Post Office Address	Post Office Address 699 Teresita Boulevard	City San Francisco	State/Country California	Zip Code 94127
Full Name of Inventor 5	Last Name Kowbel	First Name David	Middle Name or Initial	
Residence & Citizenship	City Oakland	State/Foreign Country California	Country of Citizenship USA	
Post Office Address	Post Office Address 6009 Auburn Avenue	City Oakland	State/Country California	Zip Code 94618
Full Name of Inventor 6	Last Name Rommens	First Name Johanna	Middle Name or Initial	
Residence & Citizenship	City Toronto	State/Foreign Country Ontario, Canada	Country of Citizenship Canada	
Post Office Address	Post Office Address 717 Bay St. #1501	City Toronto	State/Country Ontario, Canada	Zip Code M5G 2J9

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Signature of Inventor 1  Joe W. Gray	Signature of Inventor 2  Colin Collins	Signature of Inventor 3  Soo-in Hwang
Date:  ____	Date:  ____	Date:  ____
Signature of Inventor 4  Tony Godfrey	Signature of Inventor 5  David Kowbel	Signature of Inventor 6  <i>Johanna Rommens</i> Johanna Rommens
Date:  ____	Date:  ____	Date: April 2, 1997



## DECLARATION

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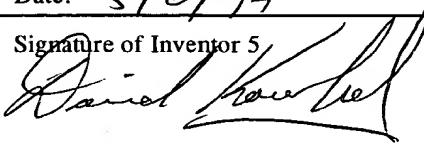
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Residence & Citizenship	City San Rafael	State/Foreign Country California	Country of Citizenship USA	
Post Office Address	Post Office Address 333 Mountain View Avenue	City San Rafael	State/Country California	Zip Code 94901

Full Name of Inventor 3	Last Name <b>Hwang</b>	First Name <b>Soo -in</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Berkeley</b>	State/Foreign Country <b>Calif rnia</b>	Country of Citizenship <b>USA</b>	
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Full Name of Inventor 4	Last Name <b>Godfrey</b>	First Name <b>Tony</b>	Middle Name or Initial	
Residence & Citizenship	City <b>San Francisco</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>UK</b>	
Post Office Address	Post Office Address <b>699 Teresita Boulevard</b>	City <b>San Francisco</b>	State/Country <b>California</b>	Zip Code <b>94127</b>
Full Name of Inventor 5	Last Name <b>Kowbel</b>	First Name <b>David</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Oakland</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>6009 Auburn Avenue</b>	City <b>Oakland</b>	State/Country <b>California</b>	Zip Code <b>94618</b>
Full Name of Inventor 6	Last Name <b>Rommens</b>	First Name <b>Johanna</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Toronto</b>	State/Foreign Country <b>Ontario, Canada</b>	Country of Citizenship <b>Canada</b>	
Post Office Address	Post Office Address <b>717 Bay St. #1501</b>	City <b>Toronto</b>	State/Country <b>Ontario, Canada</b>	Zip Code <b>M5G 2J9</b>

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Signature of Inventor 1  <b>J e W. Gray</b>	Signature of Inventor 2   <b>Colin Conrad Collins</b>	Signature of Inventor 3   <b>Soo-in Hwang</b>
Date: <b></b>	Date: <b>5/6/97</b>	Date: <b>5-6-97</b>
Signature of Inventor 4  <b>Tony Godfrey</b>	Signature of Inventor 5   <b>David Kowbel</b>	Signature of Inventor 6  <b>Johanna Rommens</b>
Date: <b></b>	Date: <b>5/6/97</b>	Date: <b></b>

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hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Washington, D.C. 20231.

May 16, 1997  
TOWNSEND and TOWNSEND and CREW LLP

By K. Weber

PATENT

Attorney Docket No. 023070-068920  
UC Case No. 96-185-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Joe W. Gray *et al.* )  
Serial No.: 08/785,532 )  
Filed: 1/17/97 )  
For: GENES FROM THE 20q13 AMPLICON )  
AND THEIR USES )  
\_\_\_\_\_  
)

**POWER OF ATTORNEY BY ASSIGNEE**  
**AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kenneth A. Weber, Reg. No. 31,677, Kevin L. Bastian, Reg. No. 34,774, M. Henry Heines, Reg. No. 28,219, William M. Smith, Reg. No. 30,223, Ellen Lauver Weber, Reg. No. 32,762, William B. Kezer, Reg. No. 37,369, Tom Hunter, Reg. No. 38,498 and Eugenia Garrett-Wackowski, Reg. No. 37,330, Jonathan A. Quine, Reg. No. P-41,261 as its attorneys to prosecute this application and to transact all business in the Patent Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

was recorded on \_\_\_\_\_ at reel/frame \_\_\_\_/\_\_\_\_.

is submitted herewith for recording.

Please direct all telephone calls to Jonathan A. Quine at (415) 576-0200 and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834

Dated: 5/14/97

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: Linda S. Stevenson

Typed Name: Linda S. Stevenson

Title: Principal Prosecution Analyst

Office of Technology Transfer  
300 Lakeside Drive, 22nd Floor  
Oakland, CA 94612-3550

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